



NEW YORK STATE
**LIQUOR
AUTHORITY**

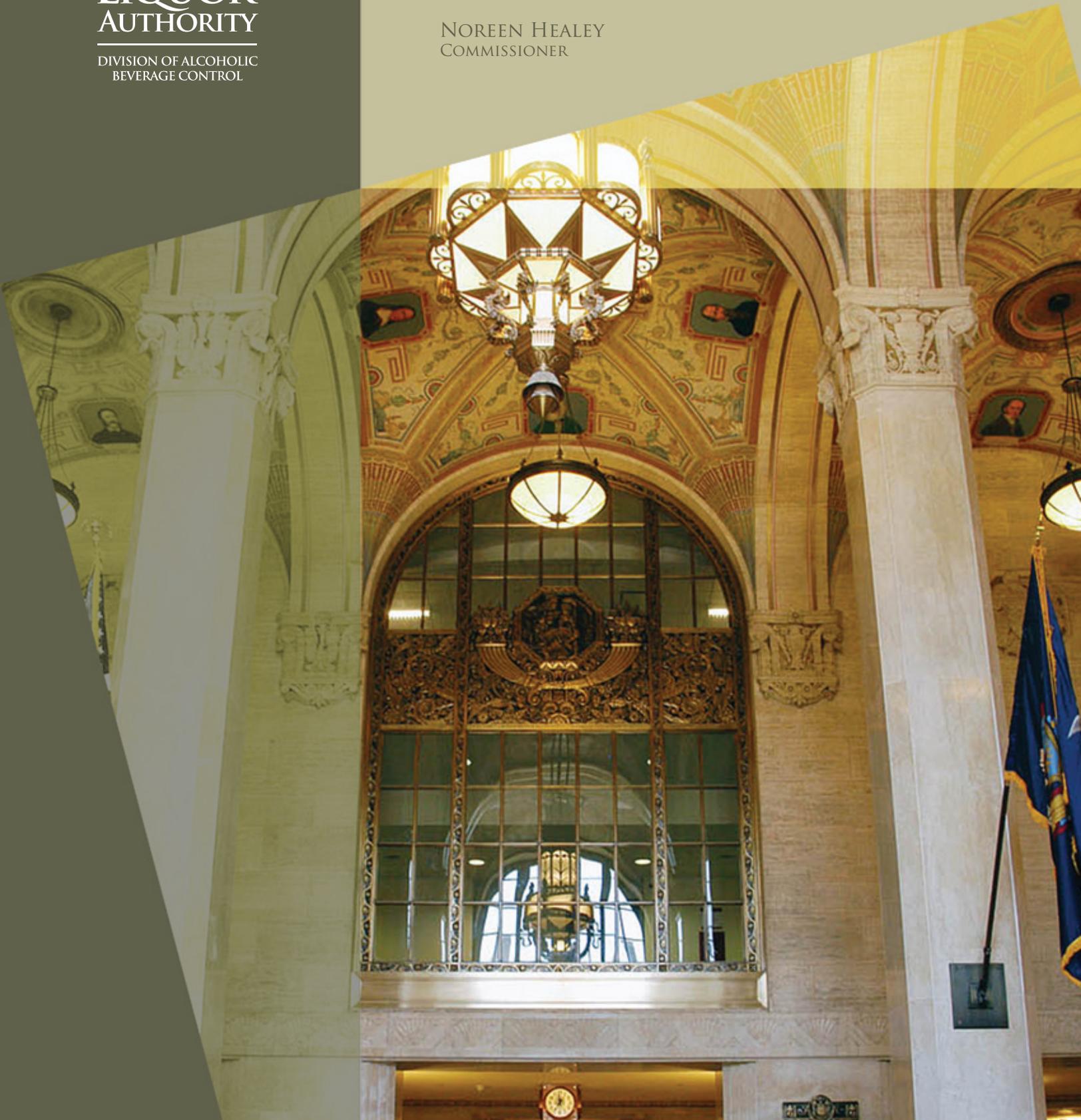
DIVISION OF ALCOHOLIC
BEVERAGE CONTROL

ANNUAL REPORT 2007

DAVID A. PATERSON
GOVERNOR

DANIEL B. BOYLE
CHAIRMAN

NOREEN HEALEY
COMMISSIONER





NEW YORK STATE
LIQUOR
AUTHORITY

DIVISION OF ALCOHOLIC
BEVERAGE CONTROL

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LETTER FROM THE CHAIRMAN



DEAR GOVERNOR PATERSON AND MEMBERS OF THE LEGISLATURE:

On behalf of the Division of Alcoholic Beverage Control, the 2007 Annual Report of the New York State Liquor Authority (SLA) is hereby submitted.

This report presents comprehensive information and statistical data on the agency's activities over the past year.

The SLA is responsible for properly issuing licenses and ensuring those licensees comply with the Alcoholic Beverage Control Law (ABC Law). In order to carry out these functions, the SLA continuously works to raise public awareness, build statewide partnerships, and work with licensees, community groups and local law enforcement to ensure the protection of public health and safety and efficiently regulate the industry in a fair and consistent manner.

The State Liquor Authority is an agency that continues to move forward to serve the citizens of New York State. I want to thank the hardworking staff at the SLA who everyday remain vigilant in achieving our goals. As always, we welcome your comments and suggestions.

Sincerely,

Daniel B. Boyle
Chairman

On December 5, 1933, President Franklin Delano Roosevelt signed Presidential Proclamation 2065, officially declaring the 18th Amendment to the U.S. Constitution replaced by the 21st Amendment. The 21st Amendment to the United States Constitution repealed Prohibition in 1933 and gave each state the power to regulate the trafficking of alcoholic beverages within its borders. Chapter 180 of the Laws of 1933 provided for the manufacture of malt beverages and wine not exceeding 3.2 percent alcohol content under the guidance of an Alcoholic Beverage Control Board.

In 1934, New York State enacted Chapter 478, known as the Alcoholic Beverage Control Law, creating the State Liquor Authority and the Division of Alcoholic Beverage Control. The State Liquor Authority's first Annual Report noted that Chapter 478 was enacted by the Legislature for, "the protection, health, welfare and safety of the people of the State." These concerns are as important today as they were then.

The major responsibilities of the Division of Alcoholic Beverage Control include:

- Reviewing applications and investigating applicants to determine eligibility;
- Issuing licenses and permits for the manufacture, wholesale distribution and retail sale of all alcoholic beverages;
- Regulating trade and credit practices related to the sale and distribution of alcoholic beverages at wholesale and retail;
- Limiting, at the Authority's discretion, the number and type of licenses issued as determined by public convenience and advantage;
- Working with local law enforcement agencies to ensure compliance with the ABC Law;
- Investigating licensees in connection with violations of the ABC Law;
- Inspecting premises where alcoholic beverages are manufactured or sold;
- Bringing disciplinary charges when appropriate;
- Conducting disciplinary proceedings and hearings;
- Revoking, canceling or suspending for cause any license or permit, and/or imposing monetary penalties where appropriate; and
- Promulgating rules to implement the ABC Law.

Chapter 478 of the Laws of 1934, known as the Alcoholic Beverage Control Law, created the State Liquor Authority and the Division of Alcoholic Beverage Control. The Legislature enacted this measure "for the protection, health, welfare and safety of the people of the State."

The SLA has two main functions: issuing licenses and ensuring compliance with the Alcoholic Beverage Control Law. The Authority's Licensing Bureau are responsible for the timely processing of permits and licenses required by the ABC Law. The Compliance Unit is responsible for the protection of the public by working with local law enforcement agencies to uphold the law and bring administrative action against licensees who violate the law.

The Authority seeks to:

- Respect applicants and licensees;
- Work cooperatively with community groups and local leaders to ensure public participation in the licensing and enforcement process;
- Increase productivity by utilizing available resources, education and training to successfully deliver quality service to our customers;
- Ensure that those who do not respect the privilege of holding a license are fairly and firmly disciplined; and
- Create a unified, comprehensive approach to all Agency functions to achieve maximum effectiveness and efficiency.



Zone 1 Offices, 317 Lenox Ave., New York City



Zone 2 Offices, 80 S. Swan St., Albany



Zone 3 Offices, Iskalo Electric Tower, 535 Washington St., Buffalo



Syracuse District, 333 E. Washington St., Syracuse



Daniel B. Boyle was nominated on January 12, 2006 as Chairman and Commissioner of the New York State Liquor Authority and confirmed by the State Senate on February 15, 2006. A devoted law enforcement professional with over 31 years of service, Chairman Boyle has earned a reputation as a leader with vision, courage and commitment.

Chairman Boyle was appointed as a Police Officer with the Syracuse Police Department in 1974, serving as the Department's first-ever evidence technician. He rose steadily through the ranks in the Criminal Investigations Division, Personnel & Training Divisions and in the Administration Bureau where he coordinated a newly formed D.A.R.E. program with local school and community representatives. Chairman Boyle was appointed Deputy Chief of Police in 1994 and then First Deputy Chief in 1999, where he directed his efforts towards addressing community goals and objectives for the Department. In 2001, Chairman Boyle left the Syracuse Police Department to become Chief of Police of the East Syracuse Police Department. In 2002, Chairman Boyle became the Commissioner of Public Safety for the City of Schenectady, and most recently served as Chief of Police for the Town of Glenville, NY.

His diverse background includes experience with budget making, audits, public safety, working directly with community leaders and strengthening staff output. Chairman Boyle has successfully launched training programs, developed strong relationships with community leaders, and governmental agencies and introduced effective strategies in dealing with day to day management of law enforcement agencies.

A native of Syracuse, Chairman Boyle earned an Associate's Degree in Criminal Justice from Onondaga Community College, a Bachelor's Degree as a dual major in Psychology and Sociology from Syracuse University and is currently enrolled in the Maxwell School of Citizenship and Public Affairs at Syracuse University working toward a Master's Degree in Public Administration.

Chairman Boyle is a member of the Central New York State Association of Chiefs of Police and a graduate of the National FBI Academy.

Chairman Boyle and his wife of 31 years, Patricia, are the happy parents of two daughters and reside in Syracuse, NY.



Noreen Healey has served as a Commissioner of the New York State Liquor Authority since June 2006. She is an attorney, a resident of New York City, and only the fourth woman ever to serve as a Commissioner of the Authority. During the fall of 2006, she was the chairperson of a task force to review the agency's licensing application process and recommend viable changes and improvements. As Chair, she worked with elected officials, law enforcement executives, community board members, community leaders, and industry representatives. The task force reviewed the pertinent laws, analyzed the licensing process and policies, discussed recommendations, and reported its findings and final recommendations. The Authority has implemented many of those recommendations.

Prior to her appointment, Commissioner Healey was a Law Secretary in New York State Supreme Court, Criminal Division, in Kings and Bronx Counties. She also served as an Assistant District Attorney in the Appeals Bureau of the District Attorney's Office in Kings, Nassau, and Queens Counties for more than twelve years. Prior to that, she represented the Child Welfare Administration as a litigation attorney in child neglect and abuse proceedings in Manhattan Family Court.

Commissioner Healey is a member of the New York County Lawyers Association, where she has served on the Law-Related Education Committee since 2004. In 2005, she received the Committee's Pro Bono Award for her contributions in providing law-related education to students in New York City schools. She is also a member of the Brooklyn and New York City Bar Associations, and served on the Social Welfare Committee at the City Bar Association for two years.

Commissioner Healey graduated from Saint John's University School of Law in Jamaica, Queens and earned her Bachelor of Arts Degree, Magna Cum Laude, from Pine Manor College in Massachusetts. She was awarded the Pine Manor College President's Cup for Outstanding Scholarship, an award given to the highest ranking senior at graduation.



Joshua B. Toas was appointed Chief Executive Officer of the New York State Liquor Authority (SLA) in November of 2005. In this capacity, Mr. Toas is responsible for running the daily operations of the Agency.

Mr. Toas has served in a variety of leadership positions in NYS government including: Deputy Executive Director of the NYS Office of Science, Technology and Academic Research, the Executive Deputy Commissioner and General Counsel of the NY State Office of Alcoholism and Substance Abuse Services, New York Assistant Secretary of State and Deputy Counsel to the Secretary of State, Special Counsel to the Governor for Appointments, and as an Associate Counsel in the New York State Senate.

Mr. Toas formerly served as a Captain in the U.S. Army Reserves and NY Army National Guard and is a veteran of Operation Iraqi Freedom, where he served as the Chief of Administrative and Operational Law for the United States Third Army, Army Central Command, Coalition Forces Land Component Command.

Mr. Toas was born in Philadelphia, PA and received his Bachelor of Arts Degree with honors in Political Science at Temple University in 1993. He attended Widener University School of Law where he received his Juris Doctor in 1996 and was awarded the Wapner, Newman, Wigrizer Award for Trial Advocacy and served as an editor of the Law Review.

- Thomas J. Donohue, Counsel to the Authority
- Thomas O'Connor, Deputy Commissioner for Government Affairs and Assistant Chief Executive Officer
- J. Mark Anderson, Senior Deputy Commissioner
- Kerri O'Brien, Deputy Commissioner of Licensing
- Jacqueline Held, Secretary to the Authority
- William Crowley, Director of Communications
- Robert Benedetto, Director of Wholesale
- Roger Rock, Chief Administrative Law Judge
- Michael Drake, Director of Information Technology
- Daniel Malay, Director of Enforcement
- Kimberly Ciccone, Secretary to the Chairman
- Zone 1 – NYC**
- Peter Person, Assistant Director of Enforcement and Director of New York City Operations
- Michael Sakovsky, Deputy Commissioner of Licensing
- Edward Reyer, Assistant Director of Licensing
- Marvin Levine, Supervising BCI, Enforcement
- Robert Buckley, Associate Attorney, Legal
- Kimberly Morella, Deputy Director of Communications
- Zone 2 – Albany**
- Teresa Pearlroth, Supervising BCI, Enforcement
- Lisa Bonacci, Deputy Counsel
- Zone 3 – Buffalo**
- Rose Blasio, Office Manager
- Brandon Noyes, Executive Officer, Licensing
- Gary Bartikofsky, Supervising BCI, Enforcement



Kerri O'Brien
Deputy Commissioner
of Licensing with
Lorraine Maloney,
Permit Examiner

The Agency maintains zone offices in Albany, Buffalo, New York City and a satellite office in Syracuse. The Deputy Commissioner of Licensing Services coordinates statewide licensing policies, standards and initiatives and is responsible for systems development to support the Agency.

License and Permit Types

The ABC Law defines 173 different licenses and permits within the general categories of manufacturer, distributor, wholesaler and retailer. The holder of a wholesale license is authorized to distribute alcoholic beverages to licensed retailers. A retail license authorizes the holder to sell alcoholic beverages directly to consumers for on or off-premises consumption. The length of the license period varies depending on the class or type of license issued. The fee structure for each license/permit is set by statute.

Licensing Program

It is the responsibility of the Licensing Bureau to ensure that all applicants meet the statutory requirements and qualify to obtain a license or permit. The Licensing Bureau reviews license and permit applications carefully, documents all findings and prepares detailed reports to ensure that either the Licensing Board or the Members of the Authority are able to make a reasonable determination on every application.

Licensing Productivity

The Agency regulates over 70,000 licenses and permits statewide each year. The Licensing Bureau issued 6,029 licenses and 24,874 permits in 2007.

Permit Unit

This Unit processes all permits, including Temporary Beer and Wine Permits (TPA's), Caterer's Permits, Transportation Permits, Solicitor's Permits and all other miscellaneous permits. The Unit reviews all applications for completeness and ensures that all policies and procedures are followed in the review process. The Unit works with municipalities, including the local police precincts, to ensure that permits are not issued to applicants who have held more than the allowable number of permits at a specific location or at locations that have been the focus of police attention.

Investigative/Examiner Unit

The Investigative/Examiner Unit processed over 6,000 new cases in 2007, an average of over 500 cases per month.

Renewal Unit

The Renewal Unit is responsible for the review and issuance of all applications for renewal of licenses/permits statewide. Renewal applications are printed and mailed to licensees ten to twelve weeks prior to the expiration of their current license. When the renewal application is received in the office, the applications are reviewed for completeness and all pertinent information is data entered into the computer system. A review of any prior adverse history or pending charges is also completed prior to making a determination on the renewal application. Licensees with adverse history or pending cases may receive a State Administrative Procedure Act ("SAPA") letter, not a license certificate. This allows the establishment to continue to operate temporarily, pending further review.

Intake Units:

Intake Units in all three zones reviewed and processed 6,506 applications statewide. The unit follows a strict adherence to documentation for all new applications, corporate changes, and alteration applications. In New York City, the unit also checks for required documentation of all Community Board notifications and the separation of the applications subject to a 500 foot hearing.

Refund Unit:

This Unit is responsible for reviewing, computing and processing refunds for surrendered licenses, overpayments or disapproved applications statewide. This documentation is then forwarded to the New York State Office of Audit & Control for payment of the refund.



Michael Sakovsky, Deputy Commissioner
Zone 1 Licensing



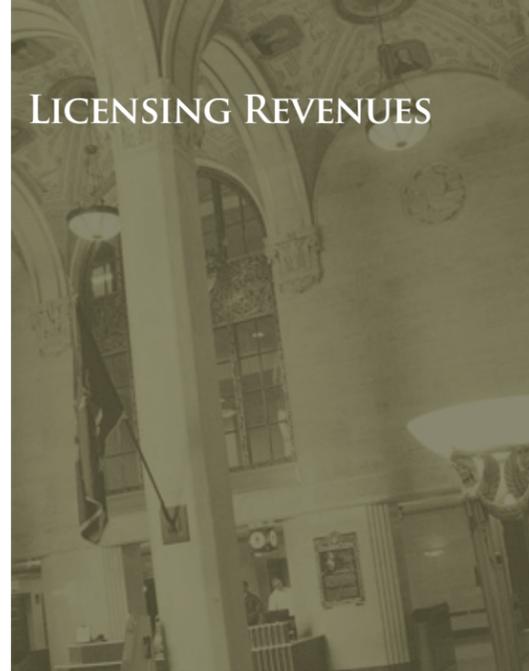
Full Board Reviews Licensing Matters

LICENSES		On Premises	Off Premises	Wholesale	Sub-Totals
Zone 1	Received	2,317	1,852	60	4,229
	Approved	2,235	1,906	39	4,180
Zone 2	Received	844	491	200	1,535
	Approved	743	334	179	1,256
Zone 3	Received	484	237	21	742
	Approved	398	175	20	593
			Statewide Total	Received	6,506
				Approved	6,029

PERMITS		Brand Label	Other	Sub-Totals
Zone 1	Processed	1,317	858	2,175
Zone 2	Processed	286	316	602
Zone 3	Processed	116	382	498
			Statewide Total	3,275

ONE TIME PERMITS		TPA's	Caterer's	Sub-Totals
Zone 1	Effective	4,406	3,598	8,004
Zone 2	Effective	4,450	3,140	7,590
Zone 3	Effective	3,780	2,225	6,005
			Statewide Total	21,599

LICENSE CHANGES			Sub-Totals
Zone 1	Processed		1,858
Zone 2	Processed		998
Zone 3	Processed		618
		Statewide Total	3,474



Full Board Meeting

CALENDAR YEAR 2007 STATEWIDE LICENSING REVENUES			
Class	New License	Renewed Licenses	Totals
On-Premises	\$8,182,654	\$30,343,708	\$38,526,362
Off-Premises	\$1,479,567	\$2,561,630	\$4,041,197
Liquor Stores	\$907,457	\$2,037,478	\$2,944,935
Wholesale	\$627,844	\$2,305,136	\$2,932,980
Subtotals	\$11,197,522	\$37,247,952	\$48,445,474
Misc. Permit Fees	\$308,039	\$1,873,697	\$2,181,736
One Time Permits	\$894,167		\$894,167
Brand Label Permits	\$347,300	\$1,069,600	\$1,416,900
Total Licensing Revenues			\$52,938,277



Robert Benedetto, Director of Wholesale

The Wholesale Bureau is responsible for the statewide administration of NYS liquor and wine price schedules, brand label registration for beer, liquor, alcoholic cider and wine products and administering the retail delinquent/credit list. In addition, the unit provides assistance and guidance to manufacturers, wholesalers, retailers, representatives and other agency bureaus on matters concerning trade practices and policy issues; reviews and approves liquor and wine combination packages, and advises, in concert with Counsel's Office, manufacturers on matters relating to contests, sweepstakes, mail in rebates, promotions or other direct consumer giveaways. The Bureau is also responsible for processing and approving requests for centralized bookkeeping.

In 2007, the State Liquor Authority implemented a host of initiatives to address present and foreseeable trends that will impact the agency's obligations to both promote and regulate the wholesale industry, including:

- Electronic price filing - Wholesalers are required to file their wholesale and retail prices for liquor and wine online through the SLA computer system, making this information more readily accessible and available to wholesalers, retailers and the public. This required information is entered into the system in a uniform and organized manner to insure that all the necessary data is provided. The system has the capability to track and flag specific fields for unusual or suspicious entries, as well as insure receipt of prior SLA approval for limited availability products and promotional-seasonal packaging. This system also discourages and minimizes requests for changes, modifications and additions to the schedules beyond the filing dates and can be utilized to reflect and analyze trends in price filings.
- Bar reports – Manufacturers/wholesalers are required to file reports with the Authority for each consumer promotional event held at a retail establishment. Previously, these reports were submitted in paper form, often resulting in inconsistent, confusing, conflicting or incomplete information. As a by-product of the electronic price filing, the SLA can now, through computer enhancements, require the wholesaler or manufacturer to file electronically in a format that requires all necessary information. This allows the agency to monitor this information in a readily searchable manner, flag fields to insure compliance with cost and number of events that can be held at a particular location on a yearly basis. This program allows the SLA to utilize this data for analytical, statistical, investigatory and intelligence purposes.

- Director of Wholesale – The SLA appointed a Director of Wholesale in July of 2007, the first director since 2002. The Director is charged with the task of monitoring, coordinating, and investigating compliance matters relating to the wholesale industry. Centralizing the compliance efforts through the Director of Wholesale insures that violations of trade practices on a statewide level can be addressed in a prompt, efficient and effective manner. The Chairman, the Chief Executive Officer, Wholesale Director, and Counsel to the Authority continue to meet with manufacturers and wholesalers to educate, discuss and resolve complex issues, as well as address concerns of mutual interest relating to processing applications or request for approvals/clarification on various matters.

Brand Labels Processed: (Totals do not include returns, disapprovals, etc.)

(2000)			
New BLs	869 records.....	Fees	\$173,500.00
Renewed BLs	records <i>not available</i>	Fees	<i>not available</i>
		Total	
(2001)			
New BLs	750 records.....	Fees	\$156,150.00
Renewed BLs	3209 records.....	Fees	<i>not available</i>
		Total	
(2002)			
New BLs	1158 records.....	Fees	\$266,350.00
Renewed BLs	3329 records.....	Fees	<i>not available</i>
		Total	
(2003)			
New BLs	814 records.....	Fees	\$173,800.00
Renewed BLs	3,468 records.....	Fees	\$753,500.00
		Total.....	\$927,300.00
(2004)			
New BLs	911 records.....	Fees	\$178,050.00
Renewed BLs	3423 records.....	Fees	\$763,850.00
		Total.....	\$941,900.00
(2005)			
New BLs	1,153 records.....	Fees	\$301,400.00
Renewed BLs	3,905 records.....	Fees	\$852,450.00
		Total.....	\$1,153,850.00
(2006)			
New BLs	1,337 records.....	Fees	\$288,700.00
Renewed BLs	2,863 records.....	Fees	\$715,750.00
		Total.....	\$1,004,450.00
(2007)			
New BLs	1,636 records.....	Fees	\$347,300.00
Renewed BLs	5,751 records.....	Fees	\$1,069,600.00
		Total.....	\$ 1,416,900.00

WHOLESALE LICENSES
IN EFFECT:
(at year end)

2003	1233
2004	1271
2005	1316
2006	1793
2007	1917

DISCIPLINARY PROCEEDINGS

An investigation by the New York State Liquor Authority may include: (a) on-site inspections of a licensed establishment; (b) on-site undercover investigations by SLA Investigators and other law enforcement agencies; (c) a review of reports and investigations by other law enforcement and regulatory agencies; and (d) interviewing potential witnesses/complainants and collecting evidence of potential violations.

Information obtained is reviewed to determine if there is sufficient evidence to bring administrative charges against a licensee. A disciplinary proceeding is commenced by the issuance of a Notice of Pleading setting forth the alleged violations. The licensee may enter one of the following pleas; (1) Not Guilty; (2) No Contest; or (3) Conditional No Contest. If the licensee fails to respond, the matter is processed as a No Contest plea.

If a licensee pleads not guilty, a hearing date is scheduled with an Administrative Law Judge (ALJ). At the hearing, the SLA and the licensee may produce witnesses, cross-examine witnesses and submit evidence in support of their case. Findings are made by the ALJ and presented to the Members of the Authority. The ALJ's findings are not final, only the Members of the Authority may make a final determination.

If the licensee pleads No Contest, the case is sent directly to the Members of the Authority for final determination and an appropriate penalty.

If the licensee submits a conditional no contest plea, the licensee's offer is forwarded to the Members of the Authority for final determination. If the offer is rejected by the Members, the matter is returned to Counsel's Office to schedule an administrative hearing.

Each case brought to the Members of the Authority is examined on its individual merits. If a disciplinary charge is sustained, the Members of the Authority may impose one or more of the following:

License suspension, cancellation or revocation [ABCL § 17(3)];

A monetary penalty, [ABCL § 17(3)];

A bond forfeiture [ABCL § 112 and 9 NYCRR 81(3)];

A two-year ban against the issuance of a license to any part of the building containing the revoked licensed premises [ABCL § 113(1)].

The complete ABC Law can be accessed at: www.abc.state.ny.us



Board Meeting in Canandaigua



DISCIPLINARY STATISTICS

	Revocations	Cancellations	Suspensions		Bond Claims Imposed	Civil Penalties Imposed	Summary Suspensions	Two Year Proscriptions	Two Year Proscriptions Lifted
			Total	# of Days					
2003	88	305	195	2879	\$504,500	\$4,864,150	1	8	0
2004	125	272	238	3303	\$532,500	\$5,132,750	4	28	5
2005	141	323	250	3818	\$579,500	\$5,928,050	4	54	17
2006	203	252	246	3348	\$531,975	\$6,040,650	24	78	7
2007	239	233	286	4631	\$467,100	\$6,979,699	14	39	11

Disciplinary Penalty Definitions

Revocation - terminates the license and bans the licensee from holding any alcoholic beverage license for two years.

Cancellation - terminates the license, but does not ban the licensee from applying for an alcoholic beverage license for two years.

Suspension - prohibits the sale or consumption of alcoholic beverages for a designated period.

Civil Penalty - monetary fine that may be levied against the licensee with, or as an alternative to, a revocation, cancellation, or suspension.

Bond Claim - a claim made against the licensee's surety bond.

Proscription - prohibits the issuance of an alcoholic beverage license for any part of the licensed premises for two years. Can only be issued when a license is revoked.

Summary Suspension - under the State Administrative Procedure Act, a State agency is authorized to summarily suspend a license when the agency finds that public health, safety, or welfare imperatively requires emergency action.

The Authority's Enforcement Bureau is responsible for investigating complaints alleging violations of the Alcoholic Beverage Control (ABC) Law and the Rules of the State Liquor Authority. The Bureau also provides training to police agencies as well as all levels of the beverage industry on provisions of the ABC Law. The Bureau is entrusted to enforce all the provisions of the ABC Law including the monitoring of manufacturing, wholesale, retail, transportation, storage, and other aspects of the alcoholic beverage industry.

Many violations of the ABC Law are crimes for which violators may be arrested. Consequently, law enforcement agencies play an important role with the Authority in enforcing the law. This shared responsibility occurs most often at the retail or consumer level.

Although the Authority has no general criminal jurisdiction, police agencies refer thousands of violations to the Authority for administrative prosecution. Complaints of violations are not only received by the Authority from the police, but are also from numerous other sources, including governmental agencies, citizens' representatives, community groups and individual complainants.

The Enforcement Bureau has recently added fourteen Beverage Control Investigators statewide including two assigned to the Syracuse office. Additionally two Beverage Control Investigators were added to the Wholesale Bureau. The new investigators will attend Peace Officer Academies in various locations across the state in 2008.

In 2007, the Enforcement Bureau in Zone I (NYC, Westchester, Nassau and Suffolk Counties) participated with the NYPD in the M.A.R.C.H. program. The Multi Agency Response to Chronic Hotspots program deploys investigators and police officers in all areas of NYC. The SLA is a partner in the IMPACT Operation statewide.

With the increased number of Beverage Control Investigators, the Bureau has recently added additional equipment to help the new staff including laptop computers which allow investigators to work in the field and have access to databases on a remote basis.



Daniel Malay, Director of Enforcement

STATISTICS:

Cases Opened	4019
Cases Closed	3898
Susp/Revo/Cancellations	598
Joint Actions	178
Meetings	101
Trainings	32

2007 REU SUMMARY OF ACTIVITY

Total Cases Opened	35
Summary Suspensions	2
Revocations	1
Other Penalties	1
No Charges	1
Unlicensed/Licensing Cases	2
Hearings Pending	13
Open Cases	5
Cases Opened	35
Cases Referred to Legal	30
Summary Suspensions	2
Revocations	1
Cases Filed	1
Cases W/No Charges Filed	1

In April of 2006, the New York State Liquor Authority established the Rapid Enforcement Unit (REU). The Unit conducts priority investigations and institutes prompt disciplinary action where substantial evidence demonstrates a licensed premises poses an imminent threat to public health, safety or welfare. The REU immediately responds to allegations of criminal activity and serious repeat violations of the ABC Law.

The REU includes the SLA's most experienced investigators and prosecutors and is led by the SLA's CEO. The REU quickly responds to emergency situations, prosecutes disciplinary charges and refers matters to the Members of the Authority for action. The REU coordinates SLA efforts with our partners throughout the state in a continuing effort to make our communities safer.

Framework of the SLA Rapid Enforcement Unit (REU):

- The SLA CEO coordinates a team of regional liaisons, investigators and legal staff to ensure prompt action on all cases:
 - A liaison from each region coordinates with local officials and law enforcement agencies;
 - Enforcement staff from each region act as first responders;
 - Experienced prosecutors from the SLA act as the legal arm of the Unit;
- REU responds to allegations of serious criminal misconduct or repeat violations of the ABC Law. The REU institutes prompt disciplinary action where there is evidence a bar or nightclub poses an imminent threat to the public;
- Investigations of referrals by a law enforcement agency, or the Chief Executive or governing board of a City, Town, or Village to the REU begin within 10 days of the alleged violation(s), unless a specific request by law enforcement is made to delay pending the outcome of a criminal investigation;
- A pleading is issued to the licensee within five business days when an investigation finds substantial evidence of a violation. If a "not guilty" plea is entered, an expedited hearing is scheduled. Any alleged violations that constitute a continuing and imminent threat to the public are sent immediately to the Members of the Authority for a potential summary suspension.

In an effort to lower the incidence of underage drinking, the Alcohol Training Awareness Program (ATAP) was created to offer voluntary instruction for licensed alcoholic beverage retailers and their employees.

The program focuses on the legal responsibilities of selling or delivering alcohol and provides training in practical skills to help licensees and their employees fulfill their legal responsibilities. Additionally, the ATAP program informs participants of the potential consequences of improper sale or delivery of alcohol. Licensees and individuals completing the ATAP program have the possibility of a limited affirmative defense in the event of an illegal alcohol sale to a minor.

Chapter 549 of the Laws of 2001 amended the minimum curriculum standards for the ATAP program. The new chapter directed the Authority to set minimum standards for two different types of Alcohol Training Awareness Program (ATAP): one for off-premises licensees and their employees, and one for on-premises licensees and their employees.

The Authority has issued Certificates of Approval to nine training programs, which regularly provide instruction to retail alcoholic beverage licensees and their employees. In 2007, 247 classes were conducted with 3,219 employees completing the program. ATAP assists the SLA in realizing the goal of providing a safe environment at licensed establishments to preserve the health, safety and welfare of citizens in our communities.

ATAP CLASSES AND STUDENTS

Total Students: 3849
(From 1/1/2000 Thru 12/31/07)

January 1, 2000 Thru December 31, 2000
1474 Students

January 1, 2001 Thru December 31, 2001
1935 Students

January 1, 2002 Thru December 31, 2002
1720 Students

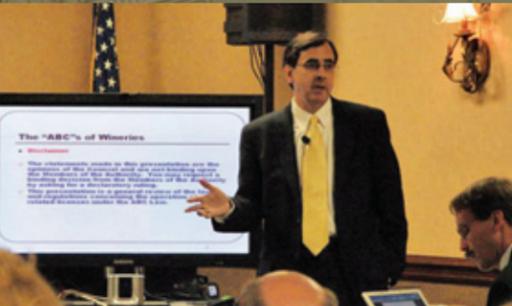
January 1, 2003 Thru December 31, 2003
2475 Students

January 1, 2004 Thru December 31, 2004
2776 Students

January 1, 2005 Thru December 31, 2005
4863 Students

January 1, 2006 Thru December 31, 2006
5387 Students 344 Classes

January 1, 2007 Thru December 31, 2007
3219 Students 247 Classes



Thomas Donohue, General Counsel to the State Liquor Authority

The Office of Counsel is responsible for providing legal services to the State Liquor Authority. The Office currently consists of approximately 30 employees, including the Counsel to the Authority, 12 full-time and 3 part-time attorneys. Twelve of the attorneys are assigned to the prosecution of disciplinary cases against licensees. The Office of Counsel, also known as the “Legal Bureau”, maintains offices in Albany, New York City and Buffalo.

The Counsel to the Authority is Thomas Donohue. Associate Counsel Lisa Bonacci supervises prosecutions by the Albany and Buffalo offices while Managing Attorney Stephen Kalinsky oversees disciplinary cases in the New York City Office. Associate Attorney Robert Buckley is the bureau’s lead prosecutor for cases investigated by the Authority’s Rapid Enforcement Unit.

As noted above, among its duties, the Office of Counsel prosecutes licensees in administrative proceedings for violations of the Alcoholic Beverage Control Law and the Regulations that govern the conduct of licensees. In 2007, the Legal Bureau commenced 4,231 such proceedings against licensees. Full-time prosecutors will typically have a caseload in excess of 300 pending prosecutions.

In addition to prosecuting licensees, the Office of Counsel represents the Authority in litigation brought by licensees and applicants who are challenging determinations made by the Authority. These cases involve determinations made in both disciplinary and licensing matters.

The bureau performs a number of other duties, including: advising the Members of the Authority and staff on legal issues; responding to requests from licensees and the public for interpretation and application of the Alcoholic Beverage Control Law; drafting legislation proposed by the Authority; and providing comment on pending legislation.

In 2008, the Office of Counsel will be continuing an effort started in 2007 to review all of the Authority’s regulations, as well as other directives issued by the Authority over the last 70 years. The ultimate goal of this project is to clarify the rules that licensees must follow and to provide a simple way for licensees and the public to know what those rules are.

The bureau will also continue taking steps to alleviate the backlog of disciplinary cases that are pending. On average, there were 4,200 cases pending in the bureau each month. Some cases have been pending for several years. The goal of the bureau is to reduce that backlog and resolve each case within one year.

By virtue of Chapter 274 of the Laws of 2004, enacted and effective on August 3, 2004, the Legislature provided for the forfeiture of a keg purchaser’s \$75.00 Keg Registration Deposit to the retail seller of the keg upon the occurrence of four eventualities [ABCL § 105-c.(5) & (5-a)]:

1. Keg was not returned.
2. Keg was returned but after 90 days from purchase.
3. Registration label was removed.
4. Registration label was damaged.

Where a retail keg seller declares a forfeiture of the purchaser’s Keg Registration Deposit, the retail keg seller is required to provide notice to the State Liquor Authority of such forfeiture [ABCL § 105-c.(5-a)].

From January 1, 2007 to December 31, 2007, the Authority received notification from retail sellers of the following Keg Registration Forfeitures:

Reasons for forfeitures	Number
Keg was not returned	46
Keg was returned but after 90 days from purchase	5
Registration label was removed	2
Registration label was damaged	1
TOTAL FORFEITURES	54

During 2007, retailers purchased a total of 135 packets (with each packet containing 50 forms) of the Keg Registration Deposit forms. The cost to the retailer is \$9.50 per packet. The total amount received for these purchases was \$1,282.50.

HEARING BUREAU



Roger Rock
Chief Administrative Law Judge



Edward Rodriguez
Administrative Law Judge

The Hearing Bureau of the State Liquor Authority is comprised of four full time and twelve part time Administrative Law Judges (ALJs) and one secretary. The Hearing Bureau is located in New York City where most of the cases are calendared.

Licensees charged with violations, such as sales of alcoholic beverages to underage purchasers, have the right to a fair and impartial hearing. The Hearing Bureau conducts all such disciplinary proceedings, which are prosecuted by the Authority's Office of Counsel. Hearings are formal, "of record" adjudicatory proceedings of the Authority. They are governed by the State Administrative Procedure Act (SAPA) and by Executive Order 131, which require that they be conducted in a manner that is impartial, efficient, timely, expert and fair. The role of the ALJ is to conduct the hearings in accordance with those requirements.

Upon completion of hearings, ALJs sort out the facts and render written determinations. They include reasoned findings of fact, an opinion, including a review of the applicable law and a conclusion as to whether charges have been sustained. Determinations must be based upon the record of hearing which is central to all subsequent actions taken in any given prosecution. The record of hearing, including a transcript (or an audio recording) of testimony taken at the hearing, constitutes the basis upon which all succeeding reviews, including reviews by the Members of the Authority, or on appeal by the courts of the State of New York, are heard

In addition, the Hearing Bureau conducts hearings on license applications when such hearings are mandated by statute. Primarily, the Hearing Bureau conducts two types of licensing hearings; a) hearings on applications for licenses when the application has been disapproved by the Authority's License Bureau and the applicant wishes to appeal that decision and, b) "500 Foot Rule Hearings" which are hearings mandated to be held when the Authority is presented with an application for an "on-premises" liquor license in a municipality of twenty thousand (20,000) or more people where there are already three or more "on-premises" liquor licensees within 500 feet of the applied for premises.

Four full-time and twelve part-time ALJs in the Authority's Hearing Bureau handled all "downstate" and "upstate" hearing calendars. In 2007 the Hearing Bureau heard to completion 933 disciplinary hearings and submitted 914 matters to the Full Board calendars. By comparison, in 2006 the Hearing Bureau heard to completion 462 disciplinary hearings and submitted 468 matters to the Full Board. The Hearing Bureau conducted 471 more disciplinary hearings in 2007 than in 2006 (an increase of 102%) and submitted 446 more matters to the Full Board in 2007 than in 2006 (an increase of 95%).

In 2007, the Hearing Bureau heard to completion 426 "500 Foot Rule Hearings". By comparison, in 2006, the Hearing Bureau heard to completion 359 "500 Foot Rule Hearings". Thus, the Hearing Bureau conducted 67 more "500 Foot Rule Hearings" in 2007 than in 2006 (an increase of 19%).

Looking forward, the Hearing Bureau hopes to expand the number of full and part-time ALJs to cover the increasing number of hearings scheduled outside the New York City metropolitan area. The Hearing Bureau is also devising internal office mechanisms to increase the efficiency in processing cases from the hearing stage to the rendering of a written determination. The anticipated results are that many more hearings will be completed and many more agenda items will be submitted to the Full Board during 2008.



Administrative Law Judge Robert Karr
conducts hearing



Denise Pavlides
Administrative Law Judge

The Secretary's office scheduled a total of 4,429 items for the Full Board to review. Of those items, 4,193 were new submissions while the remaining 236 items had been re-scheduled and/or carried over from previous meetings. The new items (4,193) were submitted from the following departments:

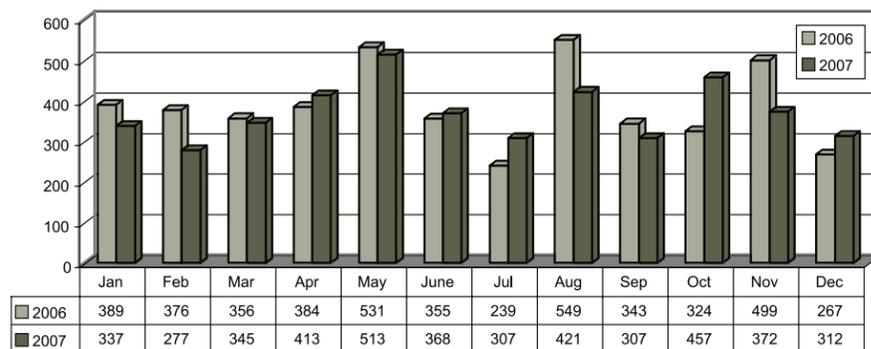
Office of Counsel	2,884
Licensing Bureau	372
Hearing Bureau	843
Miscellaneous	94
Total	4,193

The Secretary's Office oversees the preparation of the agenda for the "Full Board" meetings of the Members of the State Liquor Authority. The Office receives matters from the Licensing Bureau, Counsel's Office, Hearing Bureau, as well as miscellaneous matter presented by other departments of the Authority.

Twenty-six regular meetings and one special meeting were scheduled in 2007. The majority of meetings were held in the Authority's New York City Office, along with three meetings held in Albany, one in Buffalo and one in Canandaigua, New York. Beginning in July of 2007, in accordance with the Governor's Executive Order, all Full Board meetings were available for viewing live on the internet. To further increase transparency in government, in September of 2007, the Secretary's Office initiated video conferencing of the Full Board meetings. Members of the public who wished to attend and/or participate in the meetings could do so from either the New York City Office or the Albany Office of the Authority. In December, video conferencing was further extended to include the Authority's Buffalo Office as a location to attend a Full Board meeting.

FULL BOARD AGENDA Agenda Items

	Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Nov	Dec	Total
2006	389	376	356	384	531	355	239	549	343	324	499	267	4612
2007	377	277	345	413	513	368	307	421	307	457	372	312	4429



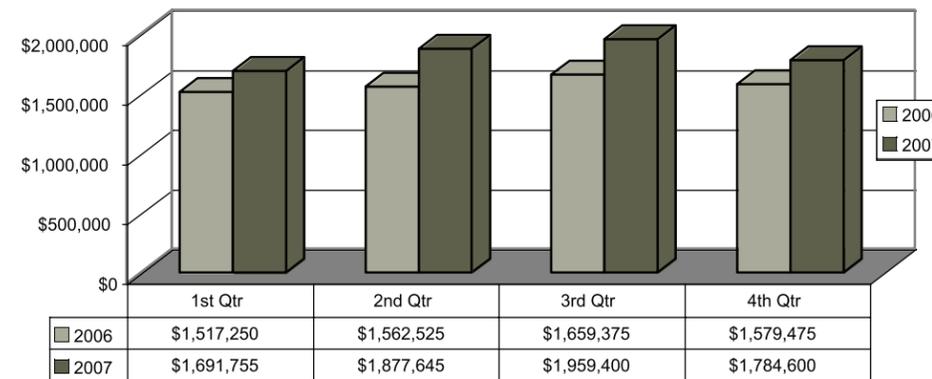
In comparison from 2006, there was a 4% decrease in total new items presented. Of note, the Hearing Bureau had increased its submissions to the Board by 94% in 2007 while the Licensing Bureau and Counsel's office have both seen a decrease of 16% in items submitted.

In addition to preparing the Full Board calendar, the Office is responsible for implementing all disciplinary determinations made by the Board which includes processing payments for civil penalties and bond claims.

Secretary's Office collected the following revenue payments consisting of civil penalties and bond claims:

DISCIPLINARY REVENUE COLLECTED

	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr	Total
2006	\$1,517,250	\$1,562,525	\$1,659,375	\$1,579,475	\$6,318,625
2007	\$1,691,755	\$1,877,645	\$1,959,400	\$1,784,600	\$7,313,400



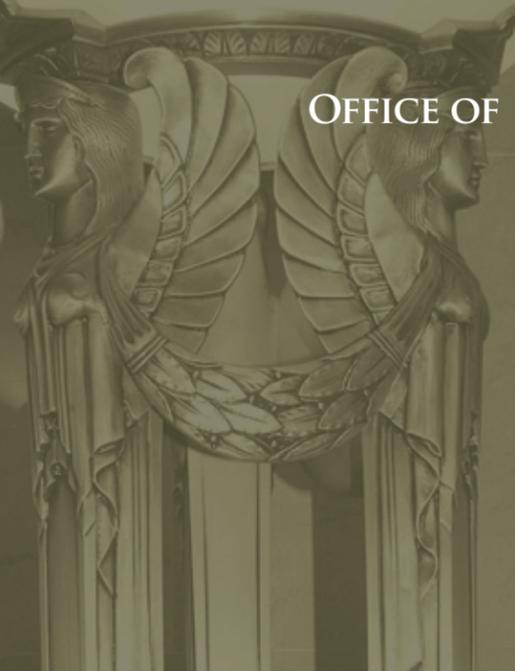
Overall, while the total number of new items decided by the Board slightly decreased from 2006, the Authority collected almost \$1,000,000 more this year in civil penalty/bond claim revenue.



Meeting of the Full Board—NYC office
Fred Gioffre, Mike Sakovsky,
Commissioner Noreen Healey,
Chairman Daniel Boyle



Secretary to the Authority Jacqueline Held
and Director of Enforcement Daniel Malay at
Full Board Meeting



Thomas J. O'Connor
Deputy Commissioner for Government
Affairs and Assistant Chief Executive Officer

The Office of Government Affairs (OGA) is responsible for fostering positive working relationships with federal, state and local legislators, community boards and their staffs in order to encourage dialogue and cooperation on matters affecting alcoholic beverage control policy. OGA is also charged to present, discuss and gain passage of the agency's annual legislative program and, where appropriate, Governor's Program Bills bearing on alcoholic beverage control policy, thereby supporting the agency's overall mission.

ACCOMPLISHMENTS:

OGA was successful in advancing three of the agency's Departmental Bills which were eventually signed into law by the Governor during the 2007 Legislative Session. The following is a brief summary of SLA's Departmental Bills that were signed into law by the Governor.

• Chapter 406 of the Laws of 2007

This chapter amends the Alcoholic Beverage Control (ABC) Law regarding the 200 foot rule in order to clarify that certain activities may occur at places of worship without jeopardizing the exclusive use requirement. These activities include: the conduct of legally authorized games of bingo or other games of chance held as a means of raising funds for the not-for-profit religious organization which conducts services at the place of worship or for other not-for-profit organizations or groups; use of the building for fund-raising performances by or benefiting the not-for-profit religious organization which conducts services at the place of worship or other not-for-profit organizations or groups; the use of the building by other religious organizations or groups for religious services or other purposes; the conduct of social activities by or for the benefit of the congregants; the use of the building for meetings held by organizations or groups providing bereavement counseling to persons having suffered the loss of a loved one, or providing advice or support for conditions or diseases including, but not limited to, alcoholism, drug addiction, cancer, cerebral palsy, Parkinson's disease, or Alzheimer's disease; the use of the building for blood drives, health screenings, health information meetings, yoga classes, exercise classes or other activities intended to promote the health of the congregants or other persons; and use of the building by noncongregant members of the community for private social functions. Under this chapter, a building occupied as a place of worship will not cease to be "exclusively" occupied as a place of worship where the not-for-profit religious organization occupying the place of worship accepts the payment of funds to defray costs related to another party's use of the building.

Listing specific examples of the types of permitted activities will provide guidance to places of worship and eliminate the need for detailed fact finding in license applications;

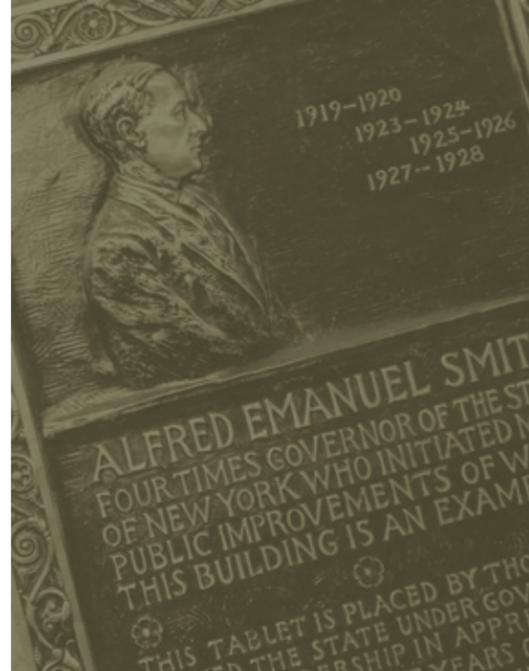
• Chapter 319 of the Laws of 2007

This chapter clarifies and streamlines the local option provisions of the ABC Law by providing one list of plain-English local option questions that can be better understood on a ballot. Such local option questions ask the citizens of a town or city if they want to allow taverns, restaurants, year-round hotels, summer hotels, package liquor and/or wine stores, grocery stores, drug stores, beer and wine product stores, and baseball park beer licenses to be issued. The chapter explicitly indicates what other privileges are included, such as, for example, off-premises beer sales by a tavern. Previously the options were incomprehensible, making reference only to the sections of the ABC Law by section number, and consequently were too confusing for all parties involved in the process (local government, the public, licensees and the SLA) to understand. The plain-English options contained in this chapter will eliminate this confusion;

• Chapter 447 of the Laws of 2007

This chapter permits the Members of the Authority to issue, for premises having a capacity for 1000 or more persons, additional bars in such numbers as the Members of the Authority may determine in the exercise of their discretion. Previously, the ABC Law did not allow for more than two additional bars at any one given licensed establishment. The law did not distinguish between small and large venues. Consequently, large venues that may have reasonably argued that they require more than two additional bars based on volume of sales were prohibited from obtaining licenses for any additional bar beyond the two allowed. This chapter will allow the Members of the Authority to consider requests from large venues for additional bars and will allow for the issuance of additional bars if the Members of the Authority so choose.

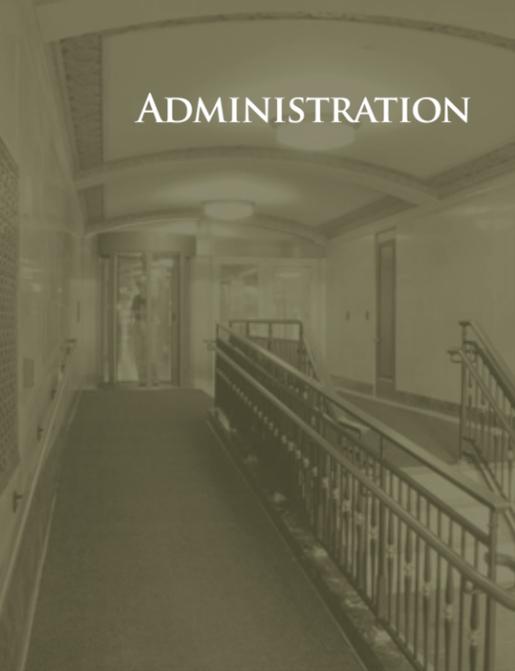
OGA also successfully assisted in the agency's efforts to improve our relationships with our external stakeholders by maintaining an open dialogue. This includes our relationships with elected officials, community boards and industry. There has been a significant increase in contacts from elected officials and community boards during 2007. Additionally, the agency participated in several meetings and forums held by elected officials, community boards and the industry during 2007.



GOALS FOR 2008:

During 2008 OGA will:

- continue to pursue the passage of the agency's legislative program;
- seek the implementation of the recommendations of the Taskforce for the Review of On-Premises Licensure;
- continue to improve our relationships with our external stakeholders by maintaining an open dialogue; and
- encourage internal customers to utilize our services.



J. Mark Anderson,
Senior Deputy Commissioner

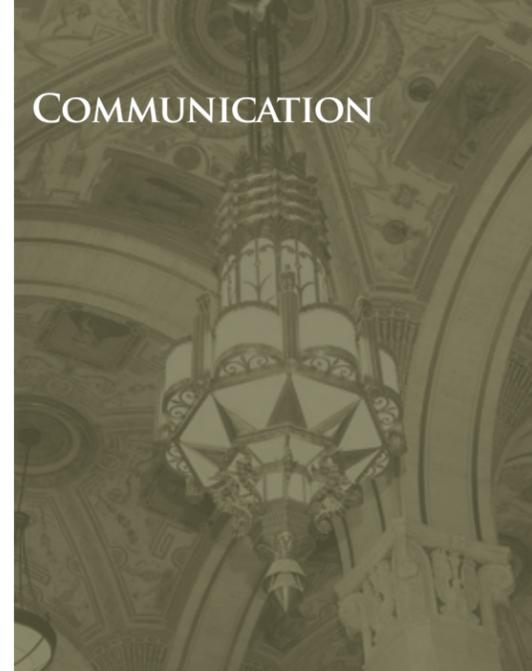
The Administrative Unit of the State Liquor Authority coordinates the daily operations of the Agency, providing external support to a wide variety of public and licensed interests, as well as internal support to all Agency program areas. Members of the administrative team function as program liaisons with our host partner, the Office of General Services (OGS). All Finance and Human Resource activities are processed through this unit, providing Agency staff at four different locations with near immediate response to personnel or fiscal inquiries and requests.

The Administrative Unit directly supports the Chairman and other Members of the Authority, provides guidance and oversight in budgetary planning, supply and equipment acquisition, staffing plan, maintenance and inventory control, management of vehicle fleet, compliance with Internal Control requirements, Telecommunications and Information Technology programs, and all matters related to our workplace tenancy.

A key aspect of administrative leadership is development and management of a multifaceted Agency mission – focusing on Licensing and Compliance responsibilities that promote public interest, health and safety. The SLA is presently formalizing a strategic plan, and will soon thereafter articulate an operational plan that clearly expresses Agency duties and uses performance measures to identify success and problematic areas.

A disaster preparedness model is also being constructed to assist Agency leadership in addressing unanticipated challenges to daily operations, including natural disasters, disease, terrorist actions, economic collapse and other consequential threats that impact our ability to serve the licensed community we regulate and public we protect.

Administrative staff also serves as a liaison with our host OGS Employee Development Office. SLA staff are regularly advised of training opportunities and assisted in the registration process. Attendance is monitored and course completion is credited to the employee's personnel record. Prescriptive training is arranged for special needs. The training unit works similarly with the Governor's Office of Employee Relations, the Office of the State Investigator General, the Committee on Open Government, and the State Ethics Commission (Public Integrity) to keep the staff mindful of its legal and ethical responsibilities as state and agency employees.



William S. Crowley Jr.,
Director of Communications

The Office of Communications is responsible for providing information to the public, working with the media, distributing press releases and coordinating press conferences. The Communications Office serves as an information resource center for the public and employees by developing and implementing public information strategies as well as coordinating internal communications. The office manages the content of the Agency's website, enabling members of the news media, as well as individuals, to obtain the latest announcements, bulletins, press releases and media advisories. The Office's goal is to keep the media, licensees and the public up to date on news, policies and current events concerning the State Liquor Authority.

In 2007, the Office of Communications revived the State Liquor Authority's newsletter, after a nearly 65 year intermission due to rationing and labor constraints during World War II. The goal of the SLA newsletter is to raise public awareness of the ABC Law and agency policies, continue to build upon statewide partnerships, and work with licensees, community groups and local law enforcement to ensure the protection of public health and safety and efficiently regulate the industry in a fair and consistent manner.

The Office of Communications also had involvement during 2007 in the complete overhaul of the agency's website. This work, coordinated through the agency's IT Department, included researching new layout and formats, developing a more 'user-friendly' website, implementing a platform for analysis of features that are most useful to users and developing a launch program for the new website upon completion.

In addition, the Office of Communications responds to requests for Agency records as provided for in the State's Freedom of Information Law (FOIL). The Agency receives hundreds of written, electronic and oral communications annually from interested parties requesting access to Agency records pursuant to FOIL. Responding to these requests requires extensive review, research and retrieval of Agency records, making careful determination regarding what information is releasable, redacting from requested documents information not releasable and preparing a response providing the requested information or reasons why the request was denied.

DOCUMENTS AND REPORTS REQUESTED BY THE PUBLIC AND MEDIA INCLUDE:

- Annual Reports and Newsletters
- License Applications
- Industry Bulletins
- Board Determinations of the Members of the Authority
- Declaratory Rulings
- Divisional Orders Issued by the Members of the Authority
- Lists of Licenses
- Statewide lists
- Brand Label Registrations
- Manufacturers (Breweries/Distillers)
- Wholesalers
- Notices of Appearances Pursuant to Executive Law 166
- Notices of Pleading on Disciplinary Matters Against Licensees



Michael Drake, Director of Information Technology

THE INFORMATION TECHNOLOGY UNIT PROVIDES:

- Provides quality help desk support to staff, licensees and the public;
- Ensures the security and recoverability of the Agency's mission critical and user data;
- Ensures the security and functionality of the Agency's networks and systems, provides accurate reporting, statistical data and performance measurements as requested to all Bureaus of the Agency and the Public and provides innovative and cost effective technology solutions consistent with the vision and goals of the Agency.

In 2007, the Information Technology (IT) Unit fully undertook on five major projects with the remaining two near completion. The three major projects implemented were the e-Wholesale Price Posting system, outsourcing of the Agency's scanning operations, and successfully complying with Executive Order #3 (EO3) for the webcasting of all the Agency's public meetings. All three projects have had a profound impact on Agency operations. In complying with EO3, the IT Unit was able to implement an Agency wide videoconferencing system that is used to not only webcast and stream our Full Board meetings of the Members but also allows licensees and interested parties to appear remotely before the board from our Buffalo or Albany offices. With Agency offices located throughout the state, the videoconferencing system is also utilized on a daily basis by management and staff to communicate more effectively across zone offices. The IT Unit continues to expand the use of the technology as we look to provide an avenue for remote testimony and video recording of Agency administrative hearings.

The two projects near completion include the redesign of the Agency website and upgrades to the Agency's Licensing and Compliance systems. The redesign will give a new face to the Agency website with the rollout expected in April 2008. In an effort to increase staff productivity, application upgrades to Agency computer systems are underway with some of the highest priority deliverables already completed. It is expected that the upgrade project will be fully completed in January 2008.

A large scale project to re-engineer the Agency's existing Licensing and Compliance workflow management and document imaging systems is currently in the RFP (Request for Proposals) development stages. The project is priority for the IT Unit as we work to issue the RFP, select a vendor and begin implementation in the 2008 calendar year.

Other relevant projects slated for 2008 include the enhancement of two of the Agency's existing web interfaces. The first will add functionality for Retail licensees to access their delinquent account information as maintained by our Wholesale licensees in the e-Wholesale Delinquent Management System. The second upgrade will significantly expand the websites existing Public Query interface allowing users additional search criteria to obtain licensee information as well as the ability to obtain lists of licenses electronically.

While 2007 has been an eventful and successful year for IT, more remains to be accomplished in 2008.



Chairman Boyle, Commissioner Healey and Assistant Director of Licensing Reyer tour Anthony Road Winery on Seneca Lake



SLA staff tour Centerra Wine Company



John Martini, Owner of Anthony Road Winery, explains the logistical concerns with Director of Wholesale Benedetto, Chairman Boyle, and Jim Trezise from the New York State Wine and Grape Foundation

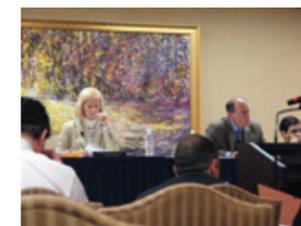


CEO Toas addresses Community Board 3 in Queens

A major component in the proper regulation of the alcohol industry is to ensure the licensed community knows what is expected of them. The State Liquor Authority is committed to increasing our communication efforts with licensees and the public by conducting outreach to explain the laws and policies that licensees are required to follow.

In 2007, SLA staff attended countless meetings across the state with community groups, police departments, local governments, and the industry. This outreach is crucial in helping to explain and clarify the ABC Law, the licensing process and agency policies.

In addition, these outreach efforts have provided the SLA with the opportunity to listen to questions, concerns and ideas from licensees, community boards, police departments, elected officials and others. Working in these partnerships, the SLA will continue to successfully carry out our mission of protecting the public health and safety by efficiently regulating the industry in a fair and consistent manner.



Full Board Holds Meeting in Canandaigua, New York



CEO Toas Answers Culinary Students Questions at Schenectady County Community College



CEO Toas and Governor's Regional Representative Jennifer Sanfilippo address Corning Chamber of Commerce



CEO Toas addresses the Ninth Precinct Community Council Meeting in Manhattan



Chairman Boyle addresses licensees at Wine Law Seminar



SLA Staff receive a lesson in winemaking



Lawrence J. Gedda

After a career spanning almost 45 years with the State Liquor Authority, Commissioner Lawrence (“Larry”) Gedda retired in 2007. Commissioner Gedda began his career with the Division of Alcoholic Beverage Control in 1963 as Executive Officer of the Herkimer County Alcoholic Beverage Control Board at a time when the Division had a physical presence in all 57 of the counties outside of New York City. The Commissioner later transferred to the New York City office where he spent the bulk of his career. Commissioner Gedda served as an investigator in the Authority’s Bureau of Investigation, a Deputy Commissioner for Enforcement, the Director of Wholesale Services, and from 1992 until his retirement, as Commissioner of the Authority.

Commissioner Gedda was a recognized national expert on regulations involving wholesalers and manufacturers and was often called on for advice and counsel by industry representatives, regulators from other states, and even foreign governments. Commissioner Gedda had wide interests outside of the SLA including flying (he was a licensed pilot), assisting disabled children, and Penn State college football.

The State Liquor Authority thanks Commissioner Gedda for his years of service and wishes him and his wife Marilyn a long, happy, healthy, and well deserved retirement.



Chairman Boyle, Commissioner Healey thank Commissioner Gedda for his years of service

Enforcement

If you would like to make a complaint or have questions on enforcement issues, please call:
 (212) 961-8378 in Zone 1,
 (518) 474-0385 in Zone 2,
 (716) 847-3039 in Zone 3
 or send an email to:
Enforcement@abc.state.ny.us

Licensing

If you have questions concerning how to obtain a license or permit, please call:
 (212) 961-8385 in Zone 1,
 (518) 474-3114 in Zone 2,
 (716) 847-3035 in Zone 3
 or send an email to:
LicensingInfo@abc.state.ny.us

Wholesale

If you are a manufacturer, wholesaler, retailer or other interested party with questions concerning trade practice, price schedules, brand label registrations or delinquent/credit status, please call (518) 474-6820 or send an email to:
Wholesale@abc.state.ny.us

FOIL

If you would like to make a Freedom of Information request, please call (518)-486-4767 or send an email to: FOIL@abc.state.ny.us

Office of the Secretary

If you have questions regarding the Full Board Calendar or questions on fines or penalties imposed by the Board please call:
 (518) 402-4394 or send an email to:
Secretarys_Office@abc.state.ny.us

Information Technology

If you have questions or suggestions regarding the Agency’s use of Information Technology please call:
 (518) 402-4070
 or send an email to:
Web_Master@abc.state.ny.us

Communications Office

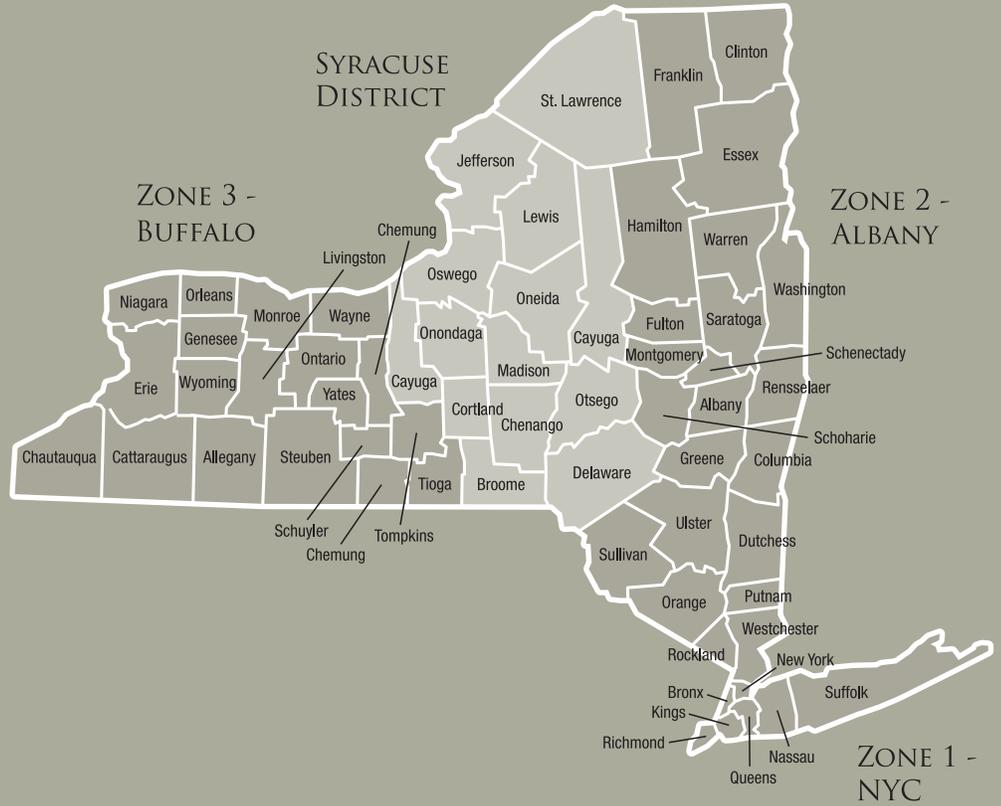
If you are a member of the media with questions regarding the State Liquor Authority, please call:
 (518) 486-4767
 or send an email to:
PressOffice@abc.state.ny.us

Legal

If you have a legal question, please call:
 (518) 474-6750
 or send an email to:
AlbanyCounsel@abc.state.ny.us

OFFICE LOCATIONS & ZONE MAP

The State Liquor Authority maintains three Zone Offices and one Satellite Office. Please contact the Zone office that supports the county where your business is located.



NEW YORK STATE
LIQUOR
AUTHORITY

DIVISION OF ALCOHOLIC
BEVERAGE CONTROL

Zone 1 317 Lenox Ave. New York, NY 10027	Zone 2 80 S. Swan St. Albany, NY 12210-8002	Syracuse District 333 E. Washington St. Syracuse, NY 13202	Zone 3 535 Washington St. Buffalo, NY 14203
Bronx Kings Nassau New York Queens Richmond Suffolk Westchester	Albany Clinton Columbia Dutchess Essex Franklin Fulton Greene Hamilton Montgomery Orange Putnam Rensselaer Rockland Saratoga Schenectady Schoharie Sullivan Ulster Washington Warren	Broome Cayuga Chenango Cortland Delaware Herkimer Jefferson Lewis Madison Oneida Onondaga Oswego Otsego St. Lawrence	Allegany Cattaraugus Chautauqua Chemung Erie Genesee Livingston Monroe Niagara Ontario Orleans Schuyler Seneca Steuben Tioga Tompkins Wayne Wyoming Yates
Complaint Hotline (212) 961-8378	Complaint Hotline (518) 474-0385		Complaint Hotline (716) 847-3039