

The undersigned applicant and the applicant's attorney hereby submit this statement to certify certain information contained in this application for a license to sell alcoholic beverages. A response to each question is required. The responses to these questions may enable the examiner to review the application in a timely manner.

- 1) Are the documents included in the application the originals or true and accurate copies of the originals?
Yes___ No___

- 2) Are the diagrams and any photos accurate to the best of the attorney's knowledge?
Yes___ No___

- 3) Has an on- site visit to the proposed location been conducted by the attorney? If so, please indicate when the visit was made.
Yes___ No___ Date of visit_____

- 4) For on-premises liquor establishments, package stores, wine stores and satellite stores, is there a school, church, synagogue or other place of worship on the same street and within 200 feet of the location?
Yes___ No___ Not applicable___ If not applicable, state the reason why (use additional sheets if necessary):

- 5) For on-premises liquor establishments, are there three or more existing on-premises liquor licenses within 500 feet?
Yes___ No___ Not applicable___ If not applicable, state the reason why (use additional sheets if necessary):

- 6) Have the documents with respect to financing been reviewed by the attorney and do they confirm the sources of funding set forth in the application?
Yes___ No___

- 7) a) Do the personal questionnaires submitted for the applicant/principals, managers, spouses, lenders, and donors disclose any statutory disqualifications to licensing, or is the attorney aware of any such disqualifications?
Yes___ No___

- 8) If the applicant has or has had a license in New York State, and is or has been the subject of disciplinary charges, indicate "Yes" and attach a list of all licenses previously or currently held by the applicant.
Yes___ No___

- 9) Have all principals, managers, spouses, lenders, donors and landlords been disclosed and all required personal questionnaires submitted?
Yes___ No___

- 10) Does the applicant or any principals report any criminal convictions or pending arrests in the personal questionnaires?
Yes___ No___

- 11) Is there any security interest, other than from a lending institution, in alcoholic beverages provided for in any of the loan agreements, leases, etc?
Yes___ No___

- 12) Are all contracts of sale, leases (or any assignments thereof), etc., valid, fully executed and in the name of the entity applying for the license?
Yes___ No___

- 13) Do all contracts of sale, leases (or assignments thereof), etc. recite the address of the location as set forth in the application?
Yes___ No___

- 14) Is there a clause in the lease, if any, giving a percentage of any of the applicant's gross or net profits to the landlord?
Yes___ No___

- 15) Is there a management agreement between the applicant and any other party?
Yes___ No___

- 16) For package and wine stores, does the applicant/location comply with the requirements of ABCL Sections 105(1), 105(2) and 105(16)?
Yes___ No___ Not applicable___ If not applicable, state the reason why (use additional sheets if necessary):

- 17) For on-premises, does the applicant/location comply with the requirements of ABCL Sections 106(1), 106(9), and 106(13) and Part 48 of the Rules of the Authority?
Yes___ No___ Not applicable___ If not applicable, state the reason why (use additional sheets if necessary):

- 18) Is there is a stipulation with the relevant Community Board/Municipality agreeing to specific conditions with respect to the operation of the establishment?
Yes___ No___ Not applicable___ If not applicable, state the reason why (use additional sheets if necessary):

- 19) Have the conditions agreed to with the Community Board/Municipality been incorporated into the application?
Yes___ No___ Not applicable___ If not applicable, state the reason why (use additional sheets if necessary):

- 20) Is the information given to the relevant Community Board/Municipality consistent with the information provided in the application?
Yes___ No___ Not applicable___ If not applicable, state the reason why (use additional sheets if necessary):

- 21) Have all necessary federal, state and local permits and licenses required by the Authority been obtained or will they be obtained prior to the issuance of the license?
Yes___ No___

- 22) Have all applicable notice requirements been complied with?
Yes___ No___

- 23) Have all required documents been submitted. If not, identify those that would be conditions of the issuance of the license.
Yes___ No___

- 24) For temporary retail permits, is there a valid, fully executed contract of sale between the applicant and the existing licensee?
Yes___ No___ Not applicable___ If not applicable, state the reason why (use additional sheets if necessary):

- 25) For temporary retail permits, has the location been licensed and operating within 30 days of the filing of the application?
Yes___ No___ Not applicable___ If not applicable, state the reason why (use additional sheets if necessary):

- 26) For temporary retail permits, has a liquidator's permit been filed along with the alcoholic inventory or an affidavit that there has been no transfer of alcoholic stock (signed and dated by both parties)?
Liquidator's Permit with the alcoholic inventory _____ Affidavit_____

PLEASE READ THE FOLLOWING CAREFULLY

The applicant and the applicant’s attorney understand and acknowledge that the Authority will rely on each and every answer in this statement:

- (1) to determine whether the application can be reviewed in a timely fashion; and
- (2) to determine whether the application should be approved.

The applicant and the applicant’s attorney understand and acknowledge that any false statement or misrepresentation herein will constitute cause for the disapproval of the application or disciplinary action against the licensee if the application is approved, including the possibility of revocation or cancellation of the license.

The applicant and the applicant’s attorney understand that any false statements made in this document are punishable as a Class E Felony under Section 175.35 of the Penal Law.

The applicant’s attorney further states that he/she is an attorney duly admitted (and in good standing) to practice in the courts of the State of New York and affirms, under penalty of perjury, that the attorney has, with due diligence, reviewed the application (which includes all supporting documents) in this matter and that the statements herein are true and that any false statement or misrepresentation herein will be reported by the Authority to the appropriate authorities, including, but not limited to, law enforcement agencies and the Appellate Division in which the attorney practices for appropriate action. In addition, the Authority reserves the right to reject from the expedited review process applications from attorneys who file applications with false, misleading or incomplete information.

Attorneys filing self-certification forms will be required to file a monthly report (by the 10th of the month) of all such applications filed the previous month. Failure to timely submit such reports may result in future applications being rejected from the expedited review process.

Applicant’s signature
(If a partnership, all partners must sign)

Attorney’s signature

Print name of applicant or principal signing Statement

Print Attorney’s name

Dated: _____

Dated: _____

Applicant’s signature
(If a partnership, all partners must sign)

Attorney New York State Registration No.

Print name of applicant or principal signing Statement

Attorney Business Address (Street)

Dated: _____

Attorney Business Address (City, State, Zip)

Applicant’s signature
(If a partnership, all partners must sign)

Attorney Business Phone Number

Print name of applicant or principal signing Statement

Attorney Email Address (if applicable)

Dated: _____